JAP3 Rec'd PCT/PTO 03 MAR 2006

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) 043048/293377 U.S. APPLICATION NO. (If known, see 37 CFR 1.5) **CONCERNING A FILING UNDER 35 U.S.C. 371** 10/538,389 PRIORITY DATE CLAIMED INTERNATIONAL FILING DATE INTERNATIONAL APPLICATION NO. 12/16/02 PCT/DE03/004082 12/11/03 TITLE OF INVENTION LONGWALL SUPPORT CONTROL FOR CONTROLLING THE MOVEMENTS OF LONGWALL SUPPORT UNITS IN THE LONGWALL OF A MINE APPLICANT(S) FOR DO/EO/US Ursula Kellermann, Christian Kellermann and Holger Kellermann Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. X This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 2 This is an express request to begin national examination procedures (35 U.S.C. 371(f)). This submission must include items (5), (6), (9) and (21) 3. indicted below. The US has been elected (Article 31). 4. A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. b. is not required, as the application was filed in the United States Receiving Office (RO/US). c. X A English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). b. 7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). h. have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. d. have not been made and will not be made. 8. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). \boxtimes An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11 To 20 below concern other document(s) or information included: 11. An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98. 12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. A FIRST preliminary amendment. A SECOND or SUBSEQUENT preliminary amendment. 14. An Application Data Sheet under 37 CFR 1.76. 15. × A substitute specification (marked up and clean copies). 16. A power of attorney and/or change of address letter. 17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825. A second copy of the published international application under 35 U.S.C. 154(d)(4). 19. A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). Other items or information: Response to Notification to Missing Requirements . 20.

U.S. APPLICATION NO. (If 10/538,389	APPLICATION NO. (If known, see 37 C.F.R. 1.5) INTERNATIONAL APPLICATION NO. PCT/DE03/004082		ATTORNEY'S DOCKET NUMBER 043048/293377			
	submitted:					
21.⊠ Basic national fee					0.00	
22. Examination fee If the written opinion prepared by ISA/US or the International preliminary examination report prepared by the IPEA/US indicates all claims satisfy provisions of PCT Article 33(1-4)						
23. Search fee (37 CFR 1.492(b)) If the written opinion of the ISA/US or International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)						
TOTAL OF 21, 22 and 23 =						
compliance with 37		in paper over 100 sheets (excluding sequent ter program listing filed in an electronic ror fraction thereof.				
Total Sheets	Extra Sheets	lumber of each additional 50 or fraction thereof (round up to a whole number)	Rate			
- 100 =	/50 =	, i	x \$250.00	\$		
Surcharge of \$130.00 for fu		e, examination fee, or the oath or declarat		\$	130.00	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	1		<u> </u>
Total Claims	- 20 =		x \$ 50.00	s		
Independent Claims	-3 =	1	x \$ 200.00	S		
MULTIPLE DEPENDENT	CLAIM(S) (if applicable)		+ \$360.00	s		
	TOTAL OF ABOVE CALCULATIONS =					•
Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by ½. +					65.00	
SUBTOTAL =						Î
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).					130.00	
TOTAL NATIONAL FEE =					195.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +					0.00	
7/2006 LLANDGRA 00000020 10530309 TOTAL FEES ENCLOSED =					195.00	
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b. □ Pleas A du c. ⊠ The C Accor	e charge my Deposit Account olicate copy of this sheet is en commissioner is hereby author unt No. 16-0605. A duplicate	rized to charge any additional fees which copy of this sheet is enclosed.	may be required, o	r credit an		·
Note: Where an appropri granted to restore the app SEND ALL CORRESPOND	lication to pending status.	1.494 or 1.495 has not been met, a pet	tion to revive (37 Mailing Label N			
R. Flynt Strean Registration No. 56,450 Alston & Bird LLP Bank of America Plaza 101 South Tryon Street, Sui Charlotte, NC 28280-4000		I hereby certify States Postal S under 37 CFR Stop PCT, Attn:	that this paper or ervice "Express M I.10 on the date in DO/US (USPTO andria, VA 22313	fee is be Mail Post ndicated :	ing deposited Office to Addi above and is	with the United ressee" service addressed to M
Tel Charlotte Office (704) 4 Fax Charlotte Office (704) 4 Customer Number 00826		Janet F. Sherril	tol/shu		<u>Ul</u>	



United States Patent and Trademark Offici

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P. Dox 1450 Alexandria, Virginia 22313-1450

Received B

U.S. APPLICATION NUMBER NO.

FIRST NAMED APPLICANT

ATTY. DOCKET NO. 043048/293377

10/538,389

Ursula Kellermann

INTERNATIONAL APPLICATION NO.

PCT/DE03/04082

I.A. FILING DATE

PRIORITY DATE

12/11/2003

12/16/2002

826 **ALSTON & BIRD LLP** BANK OF AMERICA PLAZA 101 SOUTH TRYON STREET, SUITE 4000 CHARLOTTE, NC 28280-4000

CONFIRMATION NO. 5785 371 FORMALITIES LETTER

OC000000018014750

Date Mailed: 02/14/2006

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Indication of Small Entity Status
- Copy of the International Application filed on 06/13/2005
- Copy of the International Search Report filed on 06/13/2005
- Preliminary Amendments filed on 06/13/2005
- Small Entity Statement filed on 06/13/2005
- U.S. Basic National Fees filed on 06/13/2005
- Priority Documents filed on 06/13/2005
- Non-English Language Application filed on 06/13/2005
- Specification filed on 06/13/2005
- Claims filed on 06/13/2005
- Abstracts filed on 06/13/2005
- Drawings filed on 06/13/2005

The applicant needs to satisfy supplemental fees problems indicated below.

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Translation of the application into English. Note a processing fee will be required if submitted later than 30 months from the priority date.
- Processing fee of \$130 for providing the translation of the application and/or the Annexes later than 30 months from the priority date (37 CFR 1.492(i)).
- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the

by the International application number and international filing date.

To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath
or declaration) as set forth in 37 CFR 1.492(h) of \$65 for a small entity in compliance with 37 CFR 1.27,
must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$195 for a Small Entity:

- \$65 Surcharge.
- \$130 for English translation surcharge required.

Additionally the following defects have been observed:

Preliminary Amendments have not been entered because not translated...

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice **MUST** be returned with the response.

BARBARA A CAMPBELL

Telephone: (703) 308-9140 EXT 217

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/538,389	PCT/DE03/04082	043048/293377

FORM PCT/DO/EO/905 (371 Formalities Notice)